

01-30-02

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents
Washington, D.C. 20231WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

 JCS975 U.S. PTO
10/058953
01/26/02

Sir:

 Herewith is the PATENT APPLICATION of
Inventor(s): Katsuichi OSAKABE

 Title OPTICAL DISK WITH PRERECORDED CONTROL
INFORMATION

 Atty. Dkt.: PW 0277036 | H7622US
M# | Client Ref

Date: January 28, 2002

including:

- ☐ Specification: 38 pages (only spec. and claims) 2. ☐ Specification in non-English language
☐ Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 36 numbered claims
☒ Drawings: 7 sheet(s) ☐ Informal; ☒ formal of size: ☒ A4 ☐ 11"
☐ See top first page re prior Provisional, National or International application(s). (*"X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# _____ SN _____)
 6. AMEND the specification please by inserting before the first line: -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:
 6(a) ☐ National Appl. No. 1 filed (M#)
 6(b) ☐ International Appl. No. _____ filed _____
 which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--
 7. ☐ AMEND the specification by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/ _____, filed _____, --
 8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned. ✓
 9. ☐ Prior application is assigned to _____

by Assignment recorded _____ Reel _____ Frame _____

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2001-026609	02/02/2001	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
 in U.S. Application No. 1 filed on _____
 13. Small entity status → ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)
 13(a). ☐ Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
 13(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☒ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$740/\$370	\$740	101/201
19. Total Effective Claims	36	minus 20 =	*16	x \$18/\$9 =	+ 288	103/203
20. Independent Claims	11	minus 3 =	*8	x \$84/\$42 =	+ 672	102/202
				*If answer is zero or less, enter "0"		
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$1700	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$1740	

Our Deposit Account No. 03-3975

Our Order No. 051270 0277036

CHARGE STATEMENT The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

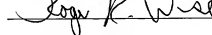
This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT
0277036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application
Katsuichi OSAKABE

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: January 28, 2002

For: **OPTICAL DISK WITH PRERECORDED CONTROL
INFORMATION**

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 913 259 US

Date of Deposit: January 28, 2002

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

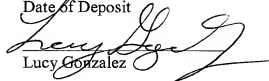
- ☒ Letter of transmittal
- ☒ Patent application (38 pages of specification; 36 claims; 1 pages of abstract)
- ☒ Checks in the amount of \$740.00 and \$960.00 to cover the filing fee and additional claims fee
- ☒ A Declaration/Power of Attorney
- ☒ 7 sheets of formal drawings
- ☒ Return postcard
- ☒ An Assignment of the invention with cover sheet and \$40.00 recordation fee
- ☒ An Information Disclosure Statement with 5 references and form PTO-1449
- ☒ A Preliminary Amendment

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231.

January 28, 2002

Date of Deposit


Lucy Gonzalez